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Attorney's Docket No. 35784/209112 (5784-50)

#5  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Caligiuri *et al.* Confirmation No.: 8842  
Appl. No.: 09/855,342 Group Art Unit: 1646  
Filed: May 14, 2001 Examiner: Not yet assigned  
For: METHODS OF THERAPY FOR CANCERS CHARACTERIZED  
BY OVEREXPRESSION OF THE HER2 RECEPTOR PROTEIN

September 6, 2001

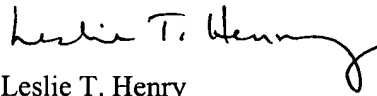
Commissioner for Patents  
Washington, DC 20231

CITATION UNDER 37 C.F.R. § 1.97

Sir:

Attached is a Form PTO-1449 listing several documents cited in the International Search Report for the corresponding International Application Number PCT/US 01/15525 not more than three months prior to the filing of this Statement. A copy of each document, including the Search Report, is enclosed. It is requested that the Examiner consider these documents and officially make them of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP. By submitting the listed documents, Applicant in no way makes any admission as to the prior art status of the listed documents, but is instead submitting the listed documents for the sake of full disclosure.

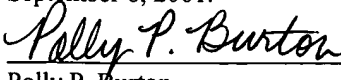
Respectfully submitted,

  
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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on September 6, 2001.

  
Polly P. Burton

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